

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

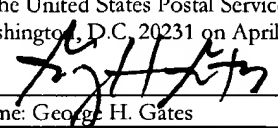
Applicant: Mikael Bisgaard-Bohr et al. Examiner: Not yet assigned
Serial No.: 09/739,994 Group Art Unit: 2171
Filed: December 18, 2000 Docket: 9684
Title: DATA MODEL FOR ANALYSIS OF RETAIL TRANSACTIONS USING
GAUSSIAN MIXTURE MODELS IN A DATA MINING SYSTEM

RECEIVED
APR 23 2001

Technology Center 2100

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 16, 2001.

By: 
Name: George H. Gates



INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

RECEIVED
MAY 4 - 2001

Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

Mikael Bisgaard-Bohr et al.


By their attorneys,

GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: April 16, 2001

GHG/sjm

By: _____


George H. Gates
Reg. No.: 33,500

RECEIVED
MAY 4 - 2001
Technology Center 2100



GAU 2171

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mikael Bisgaard-Bohr et al. Examiner: Not yet assigned
Serial No.: 09/739,994 Group Art Unit: 2171
Filed: December 18, 2000 Docket: 9684
Title: DATA MODEL FOR ANALYSIS OF RETAIL TRANSACTIONS USING GAUSSIAN MIXTURE MODELS IN A DATA MINING SYSTEM



CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 16, 2001.

By: [Signature]
Name: George H. Gates

RECEIVED

APR 23 2001

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).
- ☒ Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: [Signature]
Name: George H. Gates
Reg. No.: 33,500
GHG/sjm

RECEIVED

MAY 4 - 2001

Technology Center 2100

Technology Center 2100

(PTO TRANSMITTAL - GENERAL)